



Semiconductor Manufacturing International Corporation

# SMIC Business Ethics Statement



# Business Ethics Program

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- Declaration of Business Integrity
- Codes, Charters and Policies
- Business Ethics Compliance Procedures
- Dedicated Mailbox and Ethics Hotline



# Declaration of Business Integrity

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As an exemplary corporate citizen, SMIC is committed to maintain a high level of corporate governance in order to protect the interest of its shareholders and to build business reputation.

SMIC aims to maximize its legitimate business interest but at the same time ensure that its business practice is in compliance with applicable laws and regulations and in line with the principle of fair competition.

To ensure that all SMIC employees understand and adhere to the above value and principles, SMIC adopted various codes, charters and policies, including the Corporate Governance Policy, Audit Committee Charter, Compensation Committee Charter, Code of Business Conduct and Ethics, Anti-Fraud Policy and Classified Information Protection Policy. To view such codes and charters, please click the link on the following page.



# Business Ethics Codes, Charters and Policies

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## [Corporate Governance Policy](#)

SMIC has adopted a Corporate Governance Policy which contains provisions in line with the Sarbanes-Oxley Act 2002 and the “Exposure of Draft Code on Corporate Governance Practices and Corporate Governance Report” issued by the Hong Kong Exchanges and Clearing Limited in January 2004 (which became effective on January 1, 2005). The Corporate Governance Policy sets forth SMIC’s practices relating to its corporate governance practices and internal control procedures.

- [Audit Committee Charter and Compensation Committee Charter](#)

The Board of Directors has established an Audit Committee and a Compensation Committee. The Audit Committee Charter and Compensation Committee Charter set forth the responsibilities of the Audit Committee and the Compensation Committee.

- [Code of Business Conduct and Ethics](#)

The Code of Business Conduct and Ethics provides employees with guidelines pertaining to proper behavior in the workplace and appropriate representation of SMIC when outside the workplace.

- [Anti-Fraud Policy](#)

The Anti-Fraud Policy provides guidelines to all employees on prevention of fraud, corruption and bribery.

- [Classified Information Protection Policy](#)

The Classified Information Protection Policy protects confidential information of SMIC as well as its customers and avoid leakage of such information.





# Business Ethics Compliance Procedures

All employees are required to comply with these codes, charters or policies and violations may result in disciplinary action.

Any person, including SMIC's employees, investors, contractors, customers, suppliers or business partners, may report any possible illegal action, criminal conduct or violation of SMIC's codes, charters and policies to SMIC through the methods set out below. Detailed procedures have also been distributed, and made available, to SMIC's employees through company intranet.

- i. Any person may report directly to SMIC's Compliance Office through the Ethics Hotlines by e-mail or telephone, or report in person by attending or telephoning the Compliance Office.
- ii. You may also report to SMIC's Audit Committee directly by e-mail through the dedicated mailbox set up for the Audit Committee.

Please refer to the following page for details of the dedicated mailboxes and Ethics Hotlines.

**All informer's information and complaints will be kept in strict confidence.** It is SMIC's policy of the Company that any anonymous complaints will be discouraged and the Compliance Office will have the right to disregard such complaints.





# Ethics Hotlines

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*For reports involving any possible violation of SMIC codes, charters and policies,  
please send to:*

[Code@smics.com](mailto:Code@smics.com)

Or

**Voice Mail: 8621-5080-1703**

